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# ラプラシアンから楕円型作用素へ

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## (4年生)

服部先生と砂田先生の指導の下で次の本を読んだ.

Berger-Gauduchuon-Mazet, Le Spectre d'une variété Riemannienne, Lect Notes Math 194

## (修士)

足立先生の指導の下で次の論文を読んだ.

Atiyah-Singer,
The index of elliptic operators I~V
Ann. of Math

#### **Definition**

Let (M,g) be an oriented closed Riemannian manifold.

$$(\omega, \eta) = \int_{M} \omega \wedge *\eta \quad \text{for } \omega, \, \eta \in \Omega^{p} \, (: p \text{-forms})$$

 $d^*$  is the formal adjoint of d defined by

$$(d\omega, \eta) = (\omega, d^*\eta)$$
.

Then the Laplacian  $\triangle$  is defined by

$$\Delta = d^*d : C^{\infty}(M) = \Omega^0 \xrightarrow{d} \Omega^1 \xrightarrow{d^*} C^{\infty}(M).$$
$$(\Delta = (d+d^*)^*(d+d^*) = d^*d + dd^* : \Omega^p \to \Omega^p)$$

#### Definition

 $\lambda$  is called a spectrum of (M,g) iff

$$\exists f \neq 0 \in C^{\infty}(M), \ \triangle f = \lambda f.$$

Spectra are real non-negative discrete numbers.

## **Example** Spectra of $(S^n, g_0)$ are

$$\lambda_{\ell} = \ell(n + \ell - 1) \ (\ell \ge 0) \text{ and}$$

$$m_{\ell} = \text{multiplicity of } \lambda_{\ell}$$

$$= \frac{(n + \ell - 2)!}{\ell!(n - 1)!} (n + 2\ell - 1)$$

## (Sketch of the proof)

Let  $\triangle^R$ ,  $\triangle^S$  be the Laplacian of  $(\mathbf{R}^{n+1}, g_0)$ ,  $(S^n, g_0)$  respectively.

$$P_{\ell} = \{\text{homogeneous polynomials on } \mathbf{R}^{n+1} \text{ of degree } \ell \}$$

$$\supset H_{\ell} = \{ f \in P_{\ell} \mid \Delta^{R} f = 0 \} ,$$

$$P_{\ell}^{S} = \{ f|_{S^{n}} \in C^{\infty}(S^{n}) \mid f \in P_{\ell} \}$$

$$\supset H_{\ell}^{S} = \{ f|_{S^{n}} \in C^{\infty}(S^{n}) \mid f \in H_{\ell} \} .$$

Then direct calculation shows that  $\Delta^S f = \lambda_\ell f$  for  $f \in H_\ell^S$   $\left(\dim H_\ell^S = m_\ell\right)$  and (f,g) = 0 if  $\Delta^S f = \lambda f$ ,  $\Delta^S g = \mu g$   $(\lambda \neq \mu)$ . Here  $V = \bigoplus_{\ell \geq 0} H_\ell^S$  coincides with  $\bigoplus_{\ell \geq 0} P_\ell^S$  and V is shown to be dense in  $C^\infty(S^n)$  by using a theorem of Stone-Weierstrass. Hence any eigenfunction of  $\Delta^S$  is contained in V.

### Stationary state of the electron in a hydrogen

$$\psi(t, r, \theta, \phi) = e^{-iEt/\hbar} \varphi(r, \theta, \phi) \Longrightarrow |\psi|^2 = |\varphi(r, \theta, \phi)|^2$$

 $\varphi = \varphi(r, \theta, \phi)$  is a solution of the Schrödinger equation

$$\left\{\frac{\hbar^2}{2m}\Delta^R + V(r)\right\}\varphi = E\varphi \cdots \textcircled{1}$$

where  $V(r) = -\frac{\varepsilon}{r}$  is the Coulomb potential.

Set 
$$\varphi(r, \theta, \phi) = R(r)Y(\theta, \phi)$$
. Then

where 
$$\triangle^S = -\left(\frac{\partial^2}{\partial \theta^2} + \frac{\cos \theta}{\sin \theta} \frac{\partial}{\partial \theta} + \frac{1}{\sin^2 \theta} \frac{\partial^2}{\partial \phi^2}\right)$$
 is the Laplacian for  $(S^2, g_0)$ .

Then R(r) must satisfy the following condition:

$$\int_{\mathbf{R}^3} V(r) |\psi|^2 < \infty \iff \int_0^\infty rR(r)^2 dr < \infty \dots \oplus$$

② 
$$\longrightarrow \lambda = \lambda_{\ell} = \ell(\ell+1) \ (\ell \in \mathbb{N} \cup \{0\}) \ \cdots$$
 ⑤

(multiplicity of  $\lambda_{\ell} = 2\ell + 1$ )

$$3, 4, 5 \Longrightarrow \begin{cases} R(r) = Cr^{\ell}e^{-ar} \times \text{poly(r)} \\ E = E_{\ell} = -\frac{m\varepsilon^{2}}{2\hbar^{2}} \frac{1}{n^{2}} \quad (n \ge \ell + 1) \end{cases}$$

The difference  $E_n - E_{\ell+1}$  of the energy leads to the spectra in the sunlight.

For example, the difference  $E_n - E_2$   $(n \ge 3)$  leads to the Balmer series in the visible rays of the sun.

**Notice** If the solution R(r) for a spectrum  $\lambda$  of  $(S^2, g_0)$  is smooth at r = 0, then  $\lambda$  is equal to  $\ell(\ell+1)$  for some nonnegative integer  $\ell$ . (Because)

Since  $\lambda$  is nonnegative, there uniquely exists a nonnegative real number  $\eta$  such that  $\lambda = \eta(\eta + 1)$ .

Then the solution of ③, ④ is expressed as

$$R(r) = Cr^{\eta}e^{-ar} \times \text{poly}(r)$$
,

which is smooth at r = 0 if and only if  $\eta$  is a nonnegative integer.  $\square$ 

#### Equivariant determinant of elliptic operators

**<u>Definition</u>** For a compact Lie group G and a G-equivariant elliptic operator D, a homomorphism  $I_D: G \to \mathbf{R}/\mathbf{Z}$  is defined by  $I_D(g) = \frac{1}{2\pi\sqrt{-1}} \log \frac{\det(g|\ker D)}{\det(g|\operatorname{coker} D)}.$ 

**Formula** If  $g \in G$  has an order p, then the next equality holds.

$$I_D(g) \equiv \frac{p-1}{2p} \text{Index}(D) - \frac{1}{p} \sum_{k=1}^{p-1} \frac{1}{1-\xi_p^{-k}} \text{Index}(D, g^k) \text{ mod.} \mathbf{Z}$$

where  $\xi_p = e^{2\pi\sqrt{-1}/p}$  and

$$Index(D, g) = Tr(g|\ker D) - Tr(g|\operatorname{coker} D),$$
$$Index(D) = Index(D, 1) = \dim \ker D - \dim \operatorname{coker} D$$

## **Properties**

- (1)  $I_D$  is an additive homomorphism, and hence the the following equalities hold:  $I_D(g^z) = zI_D(g) , I_D(g) = 0 \text{ for } g \in [G, G] .$
- (2)  $I_D(g)$  is calculated from the fixed point data by using the Atiyah-Singer's theorem if g is periodic.

Using the properties above, we can use  $I_D$  as an obstruction to the existense of G-actions.

Example Let p be an odd prime number and r a natural number defined by

$$r = r_p(k) = kp + \frac{p-1}{2} \quad \left(k \in \mathbf{Z} , \ 0 \le k \le \frac{(p-1)(p-2)}{2p}\right).$$

Then it follows from a result of Glover-Mislin (1987) that

1.  $\mathbf{Z}_p \subset \Gamma^r$   $\left(\begin{array}{c} \text{namely, the compact Riemann surface } \Sigma^r \text{ of genus} \\ r = r_p(k) \text{ admits an action of the cyclic group } \mathbf{Z}_p. \end{array}\right)$ 

2. Fixed point set of  $g \in \mathbf{Z}_p$  consists of 3 points.

Suppose that the fixed point set of g consists of  $q_1$ ,  $q_2$ ,  $q_3$  and that  $g \cdot v = \xi_p^{\tau_i} v$  for  $v \in T_{q_i} \Sigma^r$ .

Then, the value  $I_{D_{\ell}}(g)$  for the  $\otimes^{\ell} T\Sigma^{r}$ -valued Dolbeault operator  $D_{\ell}$  on  $\Sigma^{r}$  is calculated as follows:

$$12pI_{D_{\ell}}(g^{z}) \equiv F_{p,r}(z, \ell; \tau_{1}, \tau_{2}, \tau_{3}) \mod .12p$$
for  $1 \leq z \leq p-1$  where
$$F_{p,r}(z, \ell; \tau_{1}, \tau_{2}, \tau_{3})$$

$$= 6(p-1)(1-r)(2\ell+1)$$

$$= 5(p-1)(1-r)(2e+1)$$

$$+ \sum_{i=1}^{3} \begin{cases} z\tau_{i}(p-1)(7p-11) \\ \left[\frac{(\ell+p+1)z\tau_{i}}{p}\right] \\ +6 \sum_{j=\left[\frac{(\ell+1)z\tau_{i}}{p}\right]+1} f_{p}\left(\left[\frac{jp-1}{z\tau_{i}}\right] - \ell - 1\right) \end{cases}$$

$$(f_{p}(x) = x^{2} - (p-2)x - (p-1)^{2})$$

For a prime number p, we call a finite group G a  $C_p$  group if the order of the commutator subgroup [G, G] is a multiple of p. Note that

- 1.  $C_p$  group is a non-abelian finite group
- 2. Any non-abelian finite group is a  $C_p$  group for some prime number p
- 3.  $[C_p, C_p]$  contains an elment g of order p (Cauchy)

Assume that a  $C_p$  group G acts on  $\Sigma^r$  for  $r = r_p(k)$ . Then the property 3 above implies the existence of natural numbers  $(\tau_1, \tau_2, \tau_3)$   $(1 \le \tau_1, \tau_2, \tau_3 \le p - 1)$  which satisfy the following condition:

$$F_{p,r}(z,\ell;\tau_1,\tau_2,\tau_3) \equiv 0 \mod .12p$$

$$\text{for } 1 \le z \le p-1, \ 0 \le \ell \le p-1.$$

$$(\iff I_{D_{\ell}}(g^z) = zI_{D_{\ell}}(g) = 0)$$

But direct computation using a computer shows that there does not exist such  $(\tau_1, \tau_2, \tau_3)$  when p = 5, 11, 17, 23, 29, 41, 47, 53, 59, 71, 83, 89.

This result implies that any  $C_p$  group can not act on  $\Sigma^r$  for  $r = r_p(k)$  if p is in the list above.

For example,  $C_p$  group can not act on  $\Sigma^r$  when

$$(p,r) = (5,2), (5,7),$$
  
 $(p,r) = (89,44+89k) (0 \le k \le 43).$ 

Remark Let  $D_{2p}$  be the dihedral group of order 2p. Then Bujalance-Cirre-Gamboa-Gromadzki (2003) shows that  $\min\{r \mid D_{2p} \subset \Gamma^r\} = p - 1$ . For example,  $D_{2.5} \subset \Gamma^4$ ,  $D_{2.89} \subset \Gamma^{88}$